

## BRIEF NOTES

### A few properties of the resonant frequencies of a piezoelectric body

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THIS PAPER PRESENTS a constraint variational formulation for the resonant frequencies of a piezoelectric body. The formulation is in a nonnegative form which is then used to prove a few properties of the lowest resonant frequency.

#### 1. Introduction

THE RAYLEIGH QUOTIENT for the eigenvalue problem for the resonance of a finite piezoelectric body is an indefinite form which has stationary values with saddle point behaviour [2]. This has hindered many theoretical approaches. In this paper, it is proved that on a properly chosen subspace of the admissible functions, the Rayleigh quotient assumes a nonnegative form which immediately leads to a few useful conclusions on the properties of the lowest resonant frequency of the piezoelectric body. These properties can be considered as the generalization of the corresponding properties in classical elasticity.

#### 2. Governing equations

Let the finite space region occupied by the piezoelectric body be  $\Omega$ , the boundary surface of  $\Omega$  be  $S$ , the unit outward normal of  $S$  be  $n_i$ , and  $S$  can be partitioned in the following way

$$\begin{aligned} S_u \cup S_\sigma &= S_\phi \cup S_D = S, \\ S_u \cap S_\sigma &= S_\phi \cap S_D = 0. \end{aligned}$$

For the free vibration of a piezoelectric body, the governing equations and boundary conditions are [1]

$$(2.1) \quad -c_{ijkl}u_{k,lj} - e_{kji}\phi_{,kj} = \rho\omega^2u_i \quad \text{in } \Omega,$$

$$(2.2) \quad -e_{ikl}u_{k,li} + \epsilon_{ik}\phi_{,ki} = 0 \quad \text{in } \Omega,$$

$$(2.3) \quad u_i = 0 \quad \text{on } S_u,$$

$$(2.4) \quad \sigma_{ji}(u_i, \phi)n_j = (c_{jikl}u_{k,l} + e_{kji}\phi_{,k})n_j = 0 \quad \text{on } S_\sigma,$$

$$(2.5) \quad \phi = 0 \quad \text{on } S_\phi,$$

$$(2.6) \quad D_i(u_i, \phi)n_i = (e_{ikl}u_{k,l} - \epsilon_{ik}\phi_{,k})n_i = 0 \quad \text{on } S_D,$$

where  $\rho$  is the mass density,  $c_{ijkl}$ ,  $e_{ijk}$ ,  $\epsilon_{ij}$  are material constants,  $u_i$  is the displacement,

and  $\phi$  is the electric potential. The material constants satisfy

$$\begin{aligned}c_{jikl} &= c_{ijkl} = c_{jilk} = c_{klji}, \\e_{kji} &= e_{kij}, \\ \epsilon_{ij} &= \epsilon_{ji}, \\ c_{ijkl}u_{i,j}u_{k,l} &\geq 0, \quad \epsilon_{ij}\phi_{,i}\phi_{,j} \geq 0.\end{aligned}$$

Values of  $\omega$  (resonant frequencies) are sought corresponding to which nontrivial solutions of  $u_i$  and  $\phi$  exist.

### 3. The Rayleigh quotient

The Rayleigh quotient for the variational formulation of the above eigenvalue problem is known [2]. Let

$$H(\Omega) = \{u_i, \phi | u_i = 0 \text{ on } S_u, \phi = 0 \text{ on } S_\phi\},$$

where  $u_i$  and  $\phi$  are assumed to be smooth enough for all the differentiation on them. Then, the expression for the Rayleigh quotient is [2]

$$(3.1) \quad R(u_i, \phi) = \frac{\int_{\Omega} (c_{ijkl}u_{i,j}u_{k,l} - \epsilon_{ij}\phi_{,i}\phi_{,j} + 2e_{ikl}u_{k,l}\phi_{,i}) d\Omega}{\int_{\Omega} \rho u_i u_i d\Omega}.$$

When  $u_i, \phi \in H(\Omega)$ , the stationary values of  $R(u_i, \phi)$  are the eigenvalues  $\omega^2$  in Eqs. (2.1)–(2.6), and the stationary values are assumed when  $u_i$  and  $\phi$  are the corresponding eigenfunctions. The stationary values of the  $R(u_i, \phi)$  in Eq. (3.1) are generally not simple minima but are of saddle point nature [2].

It has been shown [2, 3] that for the stationary solutions, with Eqs. (2.2), (2.6) and integration by parts, the value of the Rayleigh quotient is

$$(3.2) \quad \omega^2 = \frac{\int_{\Omega} (c_{ijkl}u_{i,j}u_{k,l} + \epsilon_{ij}\phi_{,i}\phi_{,j}) d\Omega}{\int_{\Omega} \rho u_i u_i d\Omega}.$$

### 4. A constraint variational formulation

The derivation from Eqs. (3.1) to (3.2) suggests a constraint variational formulation of the eigenvalue problem (2.1)–(2.6). First Eqs. (2.2) and (2.6) can be put directly on the admissible functions for the Rayleigh quotient (3.1). To be exact, let

$$\begin{aligned}H'(\Omega) &= \{u_i, \phi | u_i = 0 \text{ on } S_u, \phi = 0 \text{ on } S_\phi, -e_{ikl}u_{k,li} + \epsilon_{ik}\phi_{,ki} = 0 \text{ in } \Omega, \\ &\quad e_{ikl}u_{k,l} - \epsilon_{ik}\phi_{,k}n_i = 0 \text{ on } S_D\}.\end{aligned}$$

Then, on  $H'(\Omega)$ , after integration by parts, the Rayleigh quotient assumes the following form

$$R'(u_i, \phi) = \frac{\int_{\Omega} (c_{ijkl}u_{i,j}u_{k,l} + \epsilon_{ij}\phi_{,i}\phi_{,j}) d\Omega}{\int_{\Omega} \rho u_i u_i d\Omega}.$$

Here  $R'(u_i, \phi)$  is nonnegative, hence it has minima on  $H'(\Omega)$ . We therefore have the following constraint variational formulation

$$\omega^2 = \min_{u_i, \phi \in H'(\Omega)} R'(u_i, \phi).$$

The advantage of the above constraint formulation is that it is a minimum principle which can be used to prove the properties of its minima, for example, the lowest resonant frequency.

**5. The effect of  $S_u$**

$S_u$  is the part of the boundary of the piezoelectric body on which  $u_i$  is prescribed. All the admissible functions for  $R'(u_i, \phi)$  must vanish on  $S_u$ . Consider a new eigenvalue problem which differs from Eqs. (2.1)–(2.6) only in that  $S_u$  shrinks a little to  $S_u^0$  such that  $S_u^0 \subset S_u$ . Denote the eigenvalues of this new problem by  $(\omega^0)^2$ . Since  $u = 0$  on  $S_u$  implies  $u_i = 0$  on  $S_u^0$ , we have

$$H'(\Omega) \subset H^0(\Omega),$$

where

$$H^0(\Omega) = \{u_i, \phi | u_i = 0 \text{ on } S_u^0, \phi = 0 \text{ on } S_\phi, -e_{ikl}u_{k,li} + \epsilon_{ik}\phi_{,ki} = 0 \text{ in } \Omega, (e_{ikl}u_{k,l} - \epsilon_{ik}\phi_{,k})n_i = 0 \text{ on } S_D\}.$$

Therefore

$$\omega^2 = \min_{u_i, \phi \in H'(\Omega)} R'(u_i, \phi) \geq \min_{u_i, \phi \in H^0(\Omega)} R'(u_i, \phi) = (\omega^0)^2.$$

**6. The effect of  $c_{ijkl}$  and  $\rho$**

If two materials differ in their elastic constants and densities in the following way

$$c_{ijkl} \leq \bar{c}_{ijkl},$$

$$\rho \geq \bar{\rho},$$

and everything else remain the same, then, on  $H'(\Omega)$

$$R'(u_i, \phi) = \frac{\int_{\Omega} (c_{ijkl}u_{i,j}u_{k,l} + \epsilon_{ij}\phi_{,i}\phi_{,j}) d\Omega}{\int_{\Omega} \rho u_i u_i d\Omega} \leq \frac{\int_{\Omega} (\bar{c}_{ijkl}u_{i,j}u_{k,l} + \epsilon_{ij}\phi_{,i}\phi_{,j}) d\Omega}{\int_{\Omega} \bar{\rho} u_i u_i d\Omega} = \bar{R}(u_i, \phi).$$

Equation (6.1) immediately implies the following

$$\omega^2 = \min_{u_i, \phi \in H'(\Omega)} R'(u_i, \phi) \leq \min_{u_i, \phi \in H'(\Omega)} \bar{R}(u_i, \phi) = (\bar{\omega})^2.$$

**References**

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